

# The cLFV searches and studies with the BESIII experiment

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(On behalf of the BESIII Collaboration)

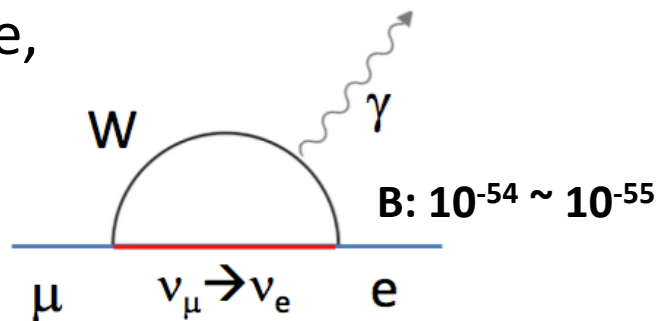
Nankai University

Tianjin, China

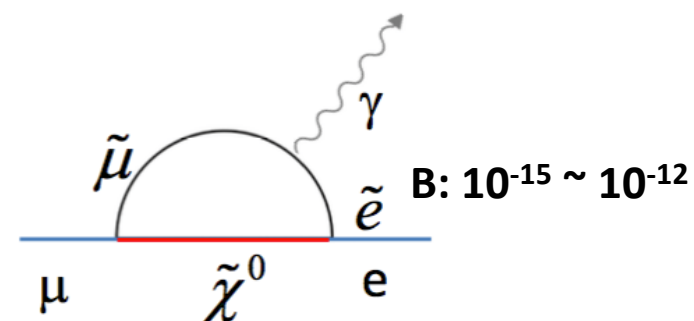
The 26<sup>th</sup> International Workshop on Weak Interactions and Neutrinos  
18-24 June 2017, UCI, Irvine, CA, USA

# Why charged Lepton Flavor Violation?

- The non-zero neutrino masses and mixing can introduce flavor transitions, but the expected branching fractions are at an extremely rare level. For example, with the present knowledge on neutrino mixing parameters, the branching fraction of the cLFV process  $\mu \rightarrow e\gamma$  is only about  $10^{-55}$ .
- Thus, searching for the cLFV events which are SM forbidden would be clear signal of physics beyond the SM.
- For example,



- **SM** prediction
- SM +  $\nu$  oscillation



- **Beyond SM** (e.g. SUSY)

# Why charged Lepton Flavor Violation?

- Theoretical prospects for  $\mu \rightarrow e$ ,  $\mu N \rightarrow e N$  and  $\mu \rightarrow 3e$

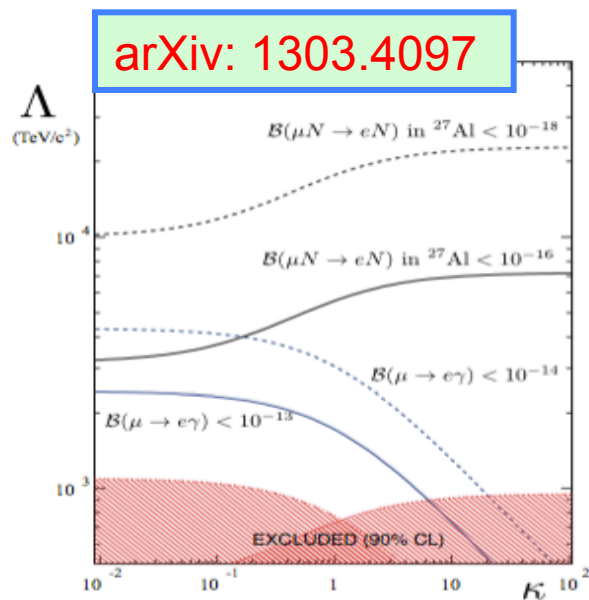


Figure 1: Sensitivity of a  $\mu \rightarrow e$  conversion in  $^{27}\text{Al}$  that can probe a normalized capture rate of  $10^{-16}$  and  $10^{-18}$ , and of a  $\mu \rightarrow e\gamma$  search that is sensitive to a branching ratio of  $10^{-13}$  and  $10^{-14}$ , to the new physics scale  $\Lambda$  as a function of  $\kappa$ , as defined in Eqn. (2). These correspond roughly to the discovery limits for the Mu2e experiment at the FNAL Booster, currently approved, and an “ultimate experiment.” The  $\mu \rightarrow e\gamma$  values are indicative of the signals-event sensitivity for MEG and its approved upgrade. Also depicted are the currently excluded regions of this parameter space from the MEG and SINDRUM-II experiments. See Sec 3 for references and explanations. Figure and caption adapted from de Gouvêa and Vogel [2013].

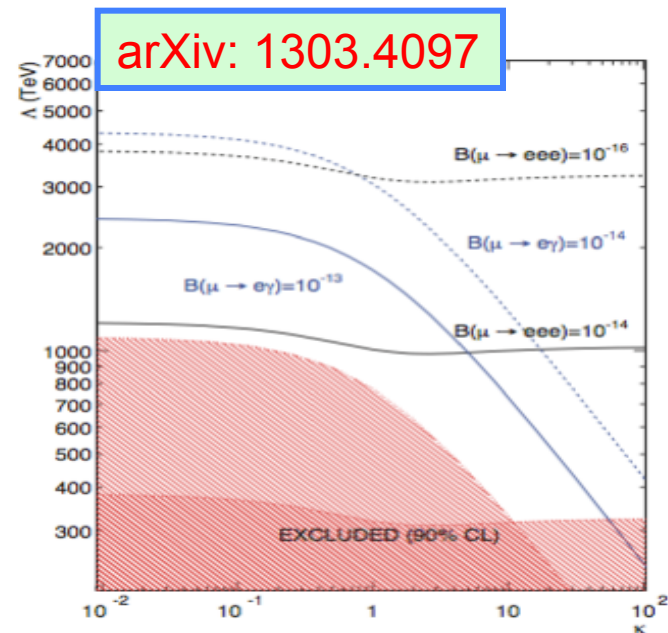
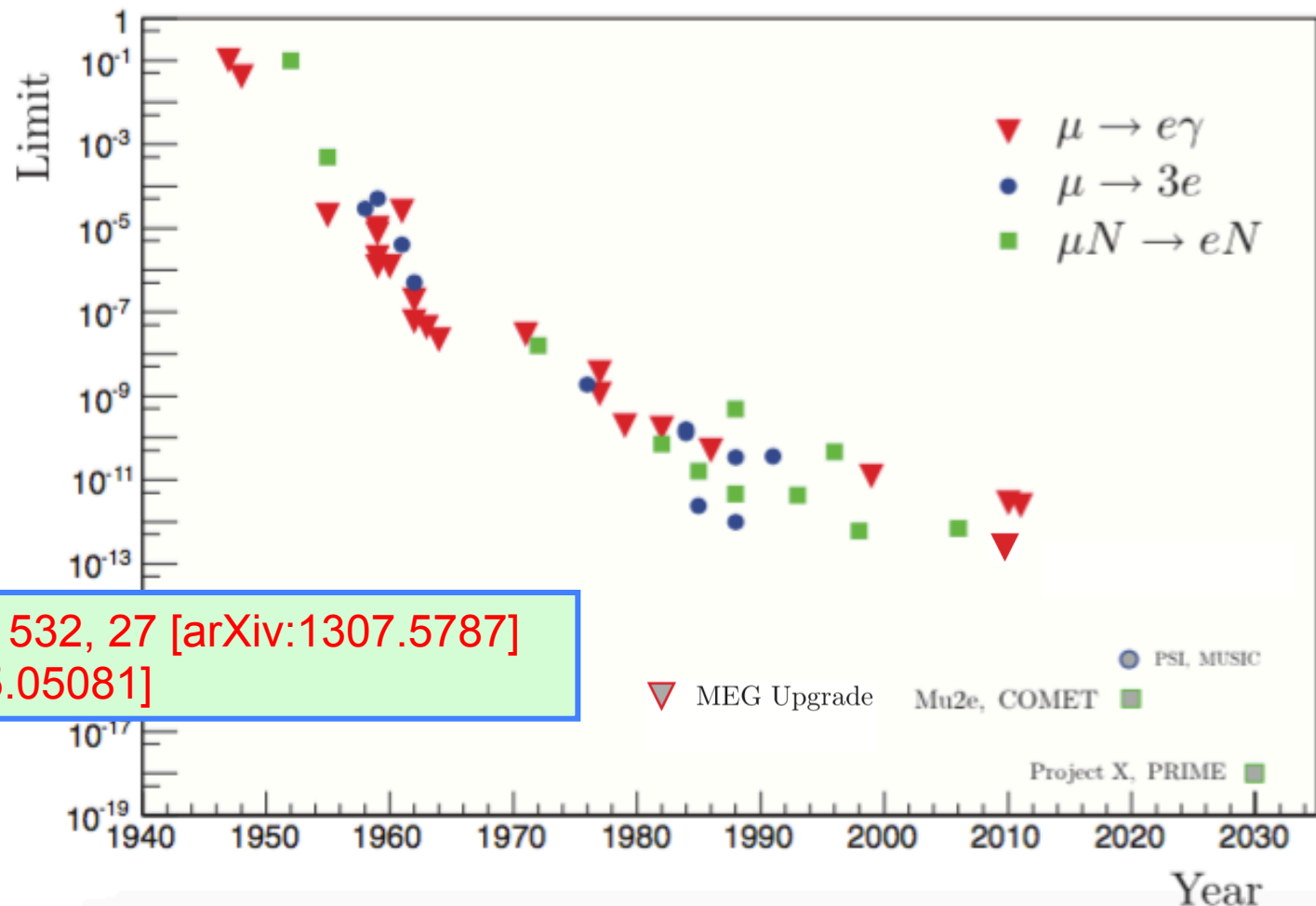


Figure 2: Sensitivity of a  $\mu \rightarrow eee$  experiment that is sensitive to branching ratios  $10^{-14}$  and  $10^{-16}$ , and of a  $\mu \rightarrow e\gamma$  search that is sensitive to a branching ratio of  $10^{-13}$  and  $10^{-14}$ , to the new physics scale  $\Lambda$  as a function of  $\kappa$  Eqn. (3). These correspond roughly to the discovery limits for the Mu2e experiment at the FNAL Booster, currently approved, and an “ultimate experiment.” The  $\mu \rightarrow e\gamma$  values are indicative of the signals-event sensitivity for MEG and its approved upgrade. Also depicted are the currently excluded regions of this parameter space from the MEG and SINDRUM-II experiments. See Sec 3 for references and explanations. Figure and caption adapted from de Gouvêa and Vogel [2013].

# Why charged Lepton Flavor Violation?

- And experimental results for  $\mu \rightarrow e$ ,  $\mu N \rightarrow eN$  and  $\mu \rightarrow 3e$



# Experimental status

- LFV in Meson decays

Channel	Upper limit	Experiment
$\pi^0 \rightarrow \mu^\pm e^\mp$	$3.59 \times 10^{-10}$	KTeV
$\eta \rightarrow \mu^\pm e^\mp$	$6 \times 10^{-6}$	Saturne SPES2
$K_L^0 \rightarrow \pi^0 \mu^\pm e^\mp$	$7.56 \times 10^{-11}$	KTeV
$K_L^0 \rightarrow 2\pi^0 \mu^\pm e^\mp$	$1.64 \times 10^{-10}$	KTeV
$K_L^0 \rightarrow \mu^+ e^-$	$4.7 \times 10^{-12}$	BNL E871
$K^+ \rightarrow \pi^+ \mu^+ e^-$	$1.3 \times 10^{-11}$	BNL E865, E777
$D^+ \rightarrow \pi^+ \mu^\pm e^\mp$	$3.4 \times 10^{-5}$	Fermilab E791
$D^+ \rightarrow K^+ \mu^\pm e^\mp$	$6.8 \times 10^{-5}$	Fermilab E791
$D^0 \rightarrow \mu^\pm e^\mp$	$8.1 \times 10^{-7}$	BaBar
$D_s^+ \rightarrow \pi^+ \mu^\pm e^\mp$	$6.1 \times 10^{-4}$	Fermilab E791
$D_s^+ \rightarrow K^+ \mu^\pm e^\mp$	$6.3 \times 10^{-4}$	Fermilab E791
$B^0 \rightarrow \mu^\pm e^\mp$	$9.2 \times 10^{-8}$	BaBar (347 fb <sup>-1</sup> )
$B^0 \rightarrow \tau^\pm e^\mp$	$1.1 \times 10^{-4}$	CLEO (9.2 fb <sup>-1</sup> )
$B^0 \rightarrow \tau^\pm \mu^\mp$	$3.8 \times 10^{-5}$	CLEO (9.2 fb <sup>-1</sup> )
$B^+ \rightarrow K^+ e^\pm \mu^\mp$	$9.1 \times 10^{-8}$	BaBar (208 fb <sup>-1</sup> )
$B^+ \rightarrow K^+ e^\pm \tau^\mp$	$7.7 \times 10^{-5}$	BaBar (348 fb <sup>-1</sup> )
$B_s^0 \rightarrow e^\pm \mu^\mp$	$6.1 \times 10^{-6}$	CDF (102 pb <sup>-1</sup> )

Nucl. Phys. B Supp. 188, 303

# Experimental status

- LFV in quarkonium resonances decay

$\ell_1 \ell_2$	$\mu\tau$	$e\tau$	$e\mu$
$\mathcal{B}(\Upsilon(1S) \rightarrow \ell_1 \ell_2)$	$6.0 \times 10^{-6}$	—	—
$\mathcal{B}(\Upsilon(2S) \rightarrow \ell_1 \ell_2)$	$3.3 \times 10^{-6}$	$3.2 \times 10^{-6}$	—
$\mathcal{B}(\Upsilon(3S) \rightarrow \ell_1 \ell_2)$	$3.1 \times 10^{-6}$	$4.2 \times 10^{-6}$	—
$\mathcal{B}(J/\psi \rightarrow \ell_1 \ell_2)$	$2.0 \times 10^{-6}$	$8.3 \times 10^{-6}$	$1.6 \times 10^{-7}$
$\mathcal{B}(\phi \rightarrow \ell_1 \ell_2)$	n/a	n/a	$4.1 \times 10^{-6}$

# Experimental status

- LFV in quarkonium resonances decay

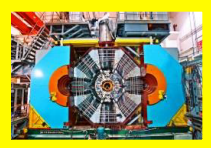
$\ell_1 \ell_2$	$\mu\tau$	$e\tau$	$e\mu$
$\mathcal{B}(\Upsilon(1S) \rightarrow \ell_1 \ell_2)$	$6.0 \times 10^{-6}$	—	—
$\mathcal{B}(\Upsilon(2S) \rightarrow \ell_1 \ell_2)$	$3.3 \times 10^{-6}$	$3.2 \times 10^{-6}$	—
$\mathcal{B}(\Upsilon(3S) \rightarrow \ell_1 \ell_2)$	$3.1 \times 10^{-6}$	$4.2 \times 10^{-6}$	—
$\mathcal{B}(J/\psi \rightarrow \ell_1 \ell_2)$	$2.0 \times 10^{-6}$	$8.3 \times 10^{-6}$	$1.6 \times 10^{-7}$
$\mathcal{B}(\phi \rightarrow \ell_1 \ell_2)$	n/a	n/a	$4.1 \times 10^{-6}$



# Beijing Electron Positron Collider II (BEPCII)

**Linac:** *The injector, a 202M long electron position linear accelerator that can accelerate the electrons and positrons to 1.3 GeV.*

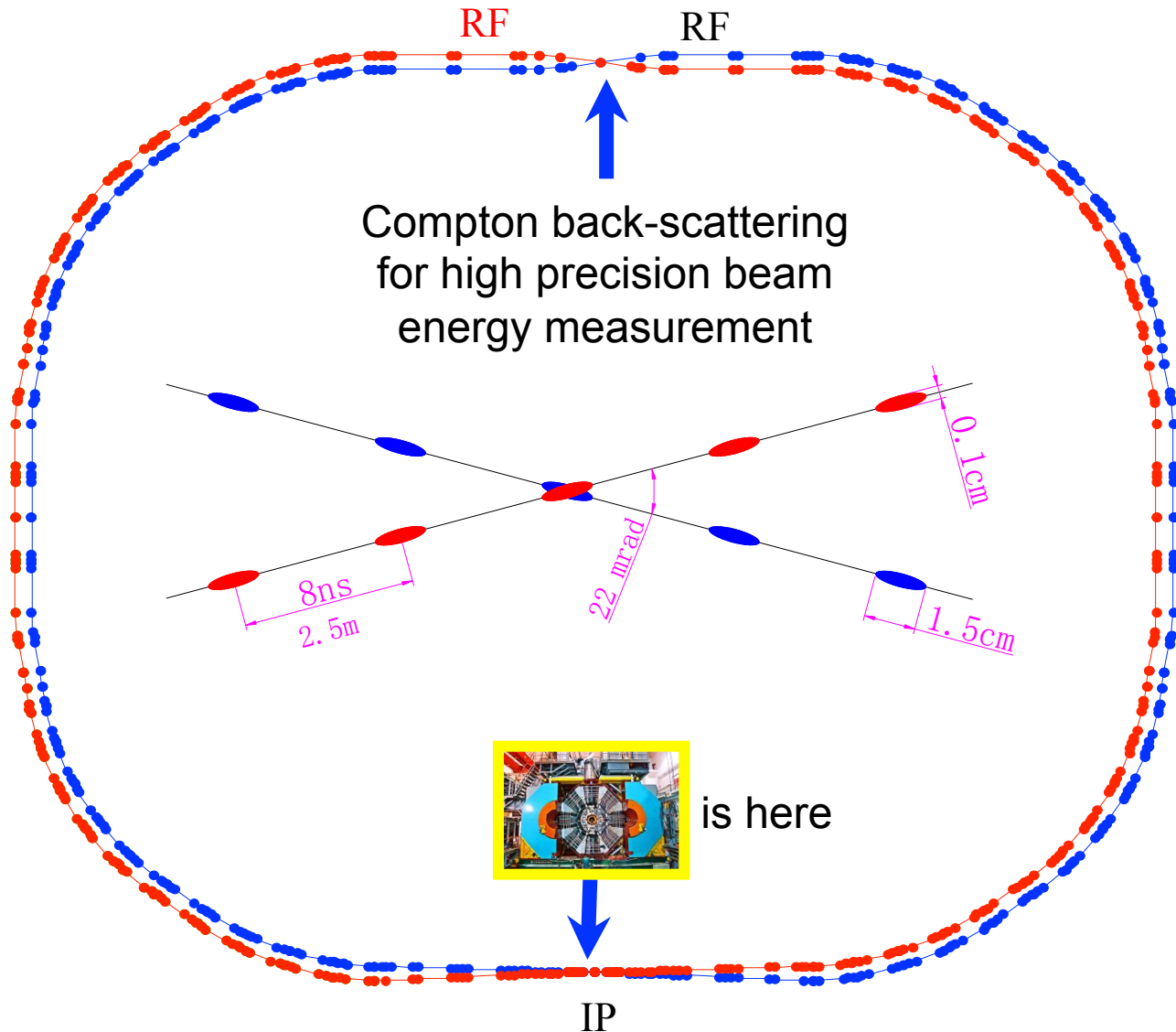
**BESIII:** *Beijing Spectrometer III, the main detector for BEPC II.*



**The storage ring:** *A sports track shaped accelerator with a circumference of 237.5M.*



# BEPCII: a double-ring machine



**Beam energy:**  
1-2.3 GeV

**Luminosity:**  
 $1 \times 10^{33} \text{ cm}^{-2} \text{ s}^{-1}$

**Optimum energy:**  
1.89 GeV

**Energy spread:**  
 $5.16 \times 10^{-4}$

**No. of bunches:**  
93

**Bunch length:**  
1.5 cm

**Total current:**  
0.91 A

**SR mode:**  
0.25A @ 2.5 GeV

2016/04/05 22:29:41

Luminosity 10.00 E32/cm<sup>2</sup>/s

e+

e-

Energy  
[GeV]

1.88833

1.88830

Current  
[mA]

849.97

852.83

Lifetime  
[hr]

1.52

2.27

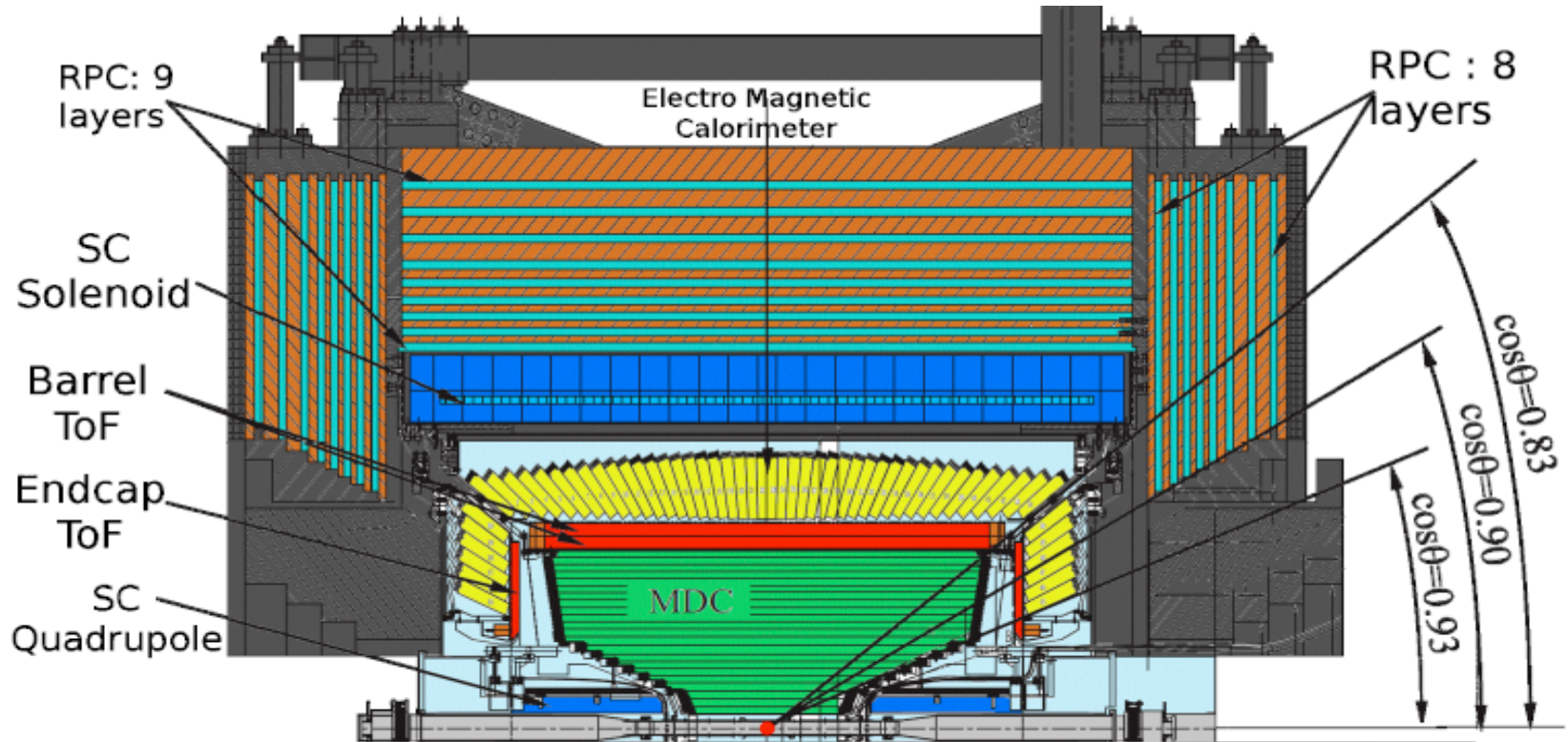
Inj.Rate  
[mA/min]

0.00

0.00

# BESIII Detector

BESIII



Wire tracker (no Si); TOF +  $dE/dx$  for PID; **CsI Ecal**; RPC muon



# BESIII Collaboration

Political Map of the World, June 1999

## US (4)

Univ. of Hawaii  
Carnegie Mellon Univ.  
Univ. of Minnesota  
Univ. of Rochester  
Univ. of Indiana

## Europe (14)

**Germany:** Univ. of Bochum,  
Univ. of Giessen, GSI  
Univ. of Johannes Gutenberg  
Helmholtz Ins. In Mainz

**Russia:** JINR Dubna; BINP Novosibirsk

**Italy:** Univ. of Torino, Univ. of Ferrara, Frascati  
Lab

**Netherland:** KVI/Univ. of Groningen

**Sweden:** Uppsala Univ.

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## Korea (1)

Seoul Nat. Univ.

## Japan (1)

Tokyo Univ.

## Pakistan (2)

Univ. of Punjab  
COMSAT CIIT

## India (1)

Indian Institute of  
Technology Madras

## China(34)

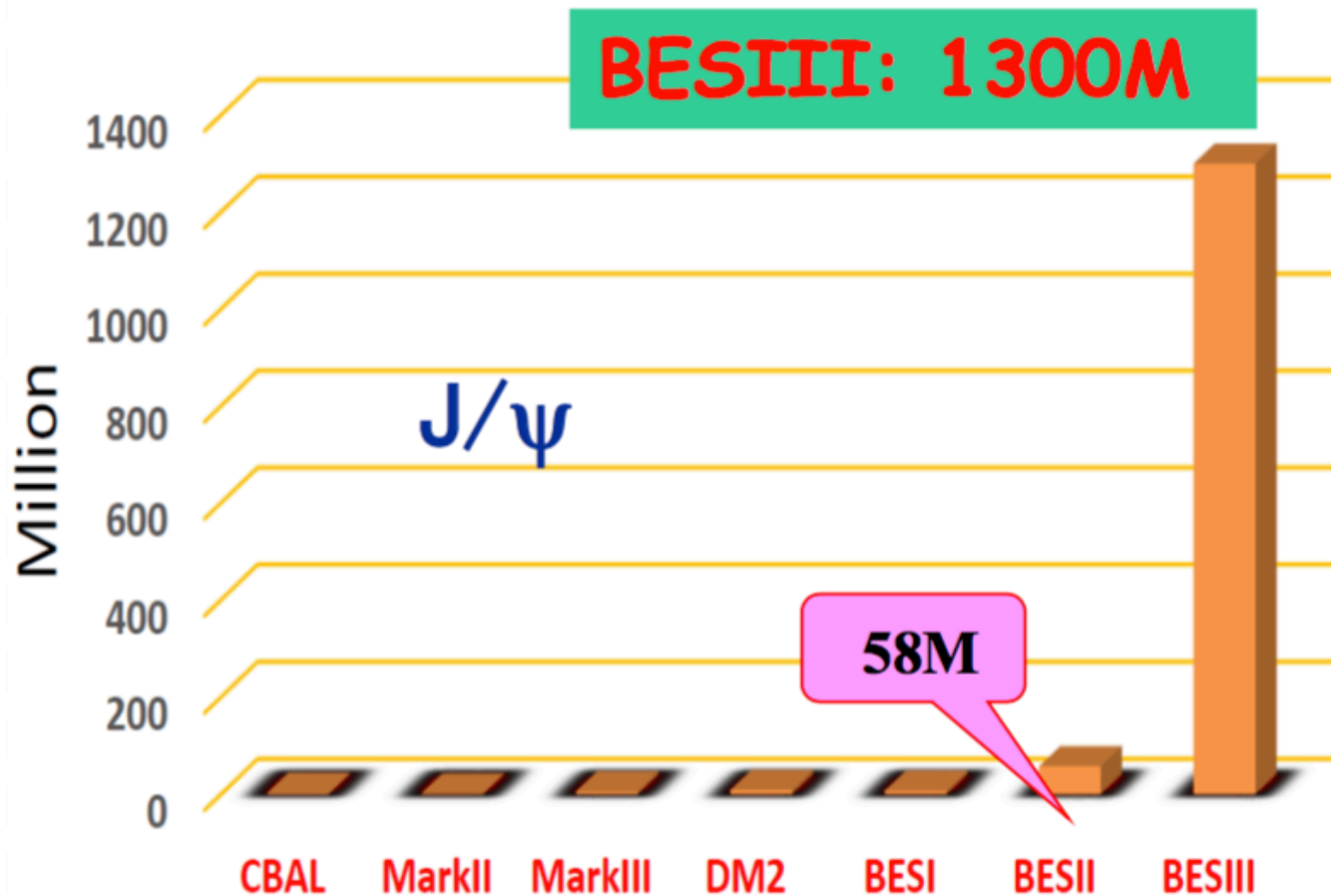
IHEP, CCAST, GUCAS, Shandong Univ.,  
Univ. of Sci. and Tech. of China  
Zhejiang Univ., Huangshan Coll.  
Huazhong Normal Univ., Wuhan Univ.  
Zhengzhou Univ., Henan Normal Univ.  
Peking Univ., Tsinghua Univ.,  
Zhongshan Univ., Nankai Univ.  
Shanxi Univ., Sichuan Univ., Univ. of South China  
Hunan Univ., Liaoning Univ.  
Nanjing Univ., Nanjing Normal Univ.  
Guangxi Normal Univ., Guangxi Univ.  
Suzhou Univ., Hangzhou Normal Univ.  
Lanzhou Univ., Henan Sci. and Tech. Univ.

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~450 members

61 institutions from 13 countries

# J/ψ Data Sample



Huge and clean data which provide a good lab to probe rare decays such as LFV process.

# $J/\psi \rightarrow e\mu$ at BESIII (1)

Phys. Rev. D 87 (2013) 112007

Decay mode	BESII upper limit	BESIII upper limit	Other experiment
$J/\psi \rightarrow e\mu$	$1.1 \times 10^{-6}$ (58M)	$1.6 \times 10^{-7}$ (225M)	-
$J/\psi \rightarrow e\tau$	$8.3 \times 10^{-6}$ (58M)	-	-
$J/\psi \rightarrow \mu\tau$	$2.0 \times 10^{-6}$ (58M)	-	-

- Event topology: two opposite, back-to-back, charged tracks, no obvious extra EMC showers
- Most of the backgrounds are from  $J/\psi \rightarrow e^+e^-$ ,  $J/\psi \rightarrow \mu^+\mu^-$ ,  $J/\psi \rightarrow \pi^+\pi^-$ ,  $J/\psi \rightarrow K^+K^-$ ,  $e^+e^- \rightarrow e^+e^-(\gamma)$  and  $e^+e^- \rightarrow \mu^+\mu^-(\gamma)$
- To suppress these backgrounds, several powerful criteria are employed.



# $J/\psi \rightarrow e\mu$ at BESIII (2)

Phys. Rev. D 87 (2013) 112007

- To suppress backgrounds from **electron** mis-ID from  $J/\psi \rightarrow e^+e^-$ ,  $e^+e^- \rightarrow e^+e^-(\gamma)$ ,
  - (1) no associated hits in the MUC;
  - (2)  $0.95 < E/p < 1.50$  GeV, where  $E$  is the energy deposit in the EMC and  $p$  the momentum measured by the MDC;
  - (3) the absolute value of  $\chi^e_{dE/dx}$  (the difference between measured and expected  $dE/dx$  for electron hypothesis over its resolution) should be less than 1.8;

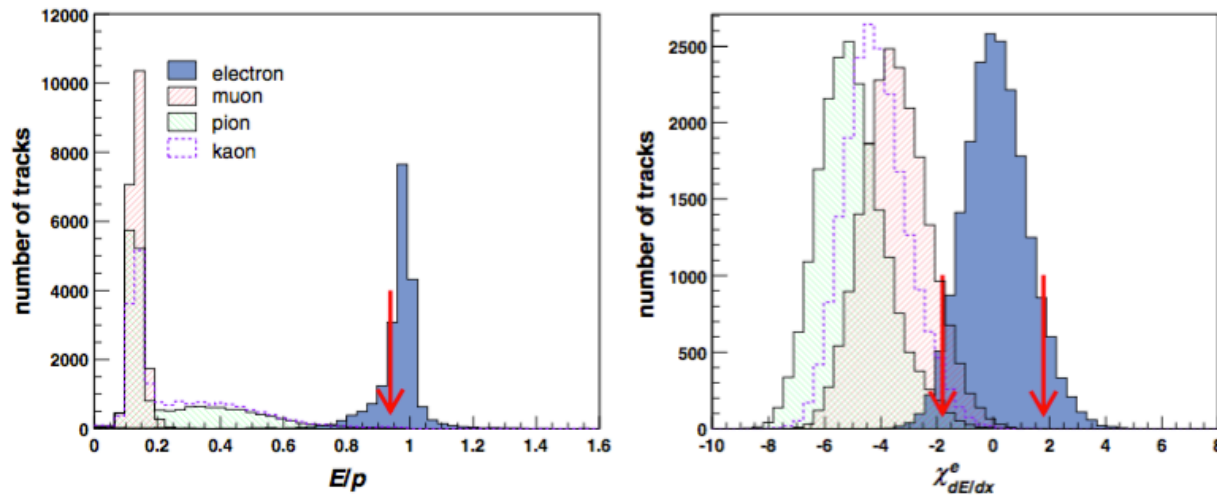


FIG. 1 (color online). The distributions of  $E/p$  (left) and  $\chi^e_{dE/dx}$  (right) for the simulated electron, muon, pion, and kaon samples.

# $J/\psi \rightarrow e\mu$ at BESIII (3)

Phys. Rev. D 87 (2013) 112007

- To suppress backgrounds from **muon** mis-ID from  $J/\psi \rightarrow \mu^+\mu^-$ ,  $e^+e^- \rightarrow \mu^+\mu^-(\gamma)$ ,
  - (1) Penetration depth in the MUC larger than 40 cm;
  - (2)  $E/p < 0.5$  GeV and  $0.1 < E < 0.3$  GeV
  - (3) the absolute value of  $\chi^e_{dE/dx}$  (the difference between measured and expected  $dE/dx$  for electron hypothesis over its resolution) should be less than -1.8;

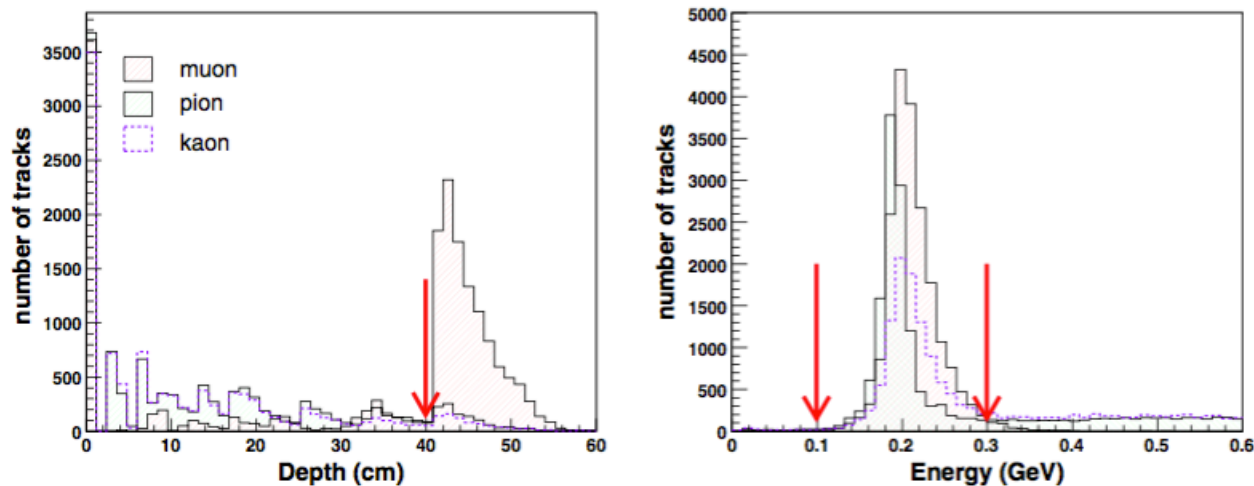


FIG. 2 (color online). The distributions of the penetration depth in the MUC (left) and the deposited energy in the EMC (right) for the simulated muon, pion, and kaon samples.

# $J/\psi \rightarrow e\mu$ at BESIII (4)

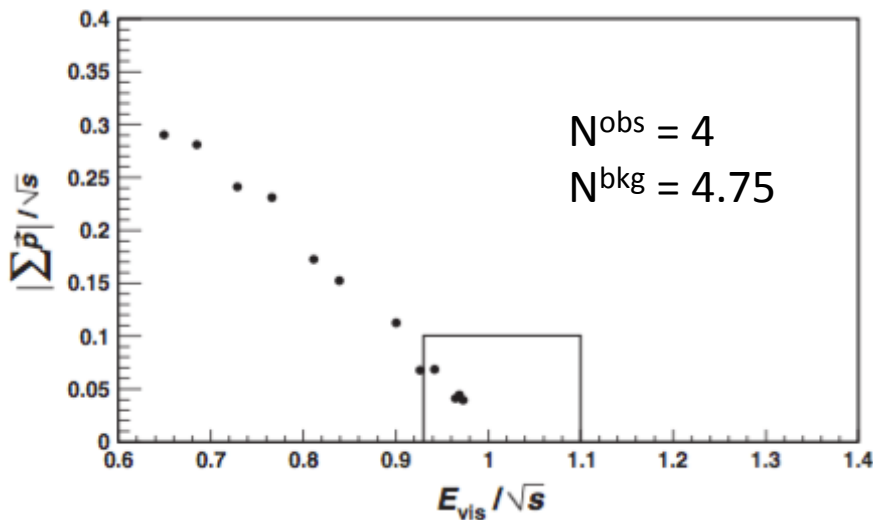


FIG. 3. A scatter plot of  $E_{\text{vis}}/\sqrt{s}$  versus  $|\Sigma \vec{p}|/\sqrt{s}$  for the  $J/\psi$  data. The indicated signal region is defined as  $0.93 \leq E_{\text{vis}}/\sqrt{s} \leq 1.10$  and  $|\Sigma \vec{p}|/\sqrt{s} \leq 0.1$ .

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TABLE I. Summary of systematic uncertainties (%).

Sources	Error
$e^\pm$ tracking	1.00
$\mu^\pm$ tracking	1.00
$e^\pm$ ID	0.62
$\mu^\pm$ ID	0.04
Acollinearity, acoplanarity	5.36
Photon veto	1.19
$N_{J/\psi}$	1.24
Total	5.84

With 225 M  $J/\psi$  data

$$B(J/\psi \rightarrow e\mu) < N_{\text{obs}}^{\text{UL}} / (N_{J/\psi} \epsilon) < \mathbf{1.6 \times 10^{-7}} \text{ @ 90\% C.L.}$$

where  $N_{\text{obs}}^{\text{UL}}$  is calculated based on the POLE program which is a Feldman-Cousins method including the number of observed events, the number of background events and its uncertainty, and the systematic uncertainties.

# Prospect for $J/\psi \rightarrow e\tau$ at BESIII

Simulated based on BESIII  
software and hardware systems

- $J/\psi \rightarrow e\tau, \tau \rightarrow \mu \nu_\mu \nu_\tau$
- Event topology: two opposite charged tracks, two missing tracks
- Most of the backgrounds are from  $J/\psi \rightarrow \pi^+ K_L^- K^-$ ,  $J/\psi \rightarrow K_L^- K_L^-$ ,  $J/\psi \rightarrow K^{*0} K^0$
- After background suppression, the detection efficiency is estimated to be 14%

With 1300 M  $J/\psi$  data

$$B(J/\psi \rightarrow e\tau)^{\text{sensitivity}} < N_{\text{obs}}^{\text{UL}} / (N_{J/\psi} \epsilon) < 6.3 \times 10^{-8} \text{ @ 90\% C.L.}$$

where  $N_{\text{obs}}^{\text{UL}}$  is calculated based on the POLE program which is a Feldman-Cousins method including the number of background events and its uncertainty, and the systematic uncertainties (assumed to be 5%), where the number of observed events is set to be zero.

# Prospect for $J/\psi \rightarrow e\tau$ at BESIII

Simulated based on BESIII software and hardware systems

- $J/\psi \rightarrow e\tau, \tau \rightarrow \mu \nu_\mu \nu_\tau$
- Event topology: two opposite charged tracks + two neutrals + two tracks
- Most of the backgrounds are from  $J/\psi \rightarrow K^+ K^-$ ,  $J/\psi \rightarrow K^* K$ ,  $J/\psi \rightarrow K^* K^0$
- After background suppression, the signal efficiency is estimated to be 14%

$B(J/\psi \rightarrow e\tau)$

$\sqrt{s} / (N_{J/\psi} \epsilon) < 6.3 \times 10^{-8} @ 90\% \text{ C.L.}$

where  $\sqrt{s}$  is the center-of-mass energy,  $N_{J/\psi}$  is the number of  $J/\psi$  events, and  $\epsilon$  is the signal efficiency. The result is based on the POLE program which is a Feldman-Cousins method for setting upper limits on the number of background events and its uncertainty, taking into account the systematic uncertainties (assumed to be 5%), where the number of observed events is set to be zero.

Result with experimental data is on the way

With 1300 M  $J/\psi$  data

# Prospect for $J/\psi \rightarrow \mu\tau$ at BESIII

Simulated based on BESIII  
software and hardware systems

- $J/\psi \rightarrow \mu\tau, \tau \rightarrow e\nu_e\nu_\tau$
- Event topology: two opposite charged tracks, two missing tracks
- Most of the backgrounds are from  $J/\psi \rightarrow \pi^+K_L K^-$ ,  $J/\psi \rightarrow K_L K_L$ ,  $J/\psi \rightarrow K^{*0}K^0$
- After background suppression, the detection efficiency is estimated to be 19%

With 1300 M  $J/\psi$  data

$$B(J/\psi \rightarrow \mu\tau)^{\text{sensitivity}} < N_{\text{obs}}^{\text{UL}} / (N_{J/\psi} \epsilon) < 7.3 \times 10^{-8} \text{ @ 90\% C.L.}$$

where  $N_{\text{obs}}^{\text{UL}}$  is calculated based on the POLE program which is a Feldman-Cousins method including the number of background events and its uncertainty, and the systematic uncertainties (assumed to be 5%), where the number of observed events is set to be zero.



# Prospect for $J/\psi \rightarrow \mu\tau$ at BESIII

Simulated based on BESIII software and hardware systems

- $J/\psi \rightarrow \mu\tau, \tau \rightarrow e\nu_e\nu_\tau$
- Event topology: two opposite charged tracks and three neutral tracks
- Most of the backgrounds are from  $J/\psi \rightarrow K^+K^-K_L^0$ ,  $J/\psi \rightarrow K^{*0}K^0$
- After background suppression, the signal efficiency is estimated to be 19%

Result with experimental data is on the way

With 1300 M  $J/\psi$  data

$$B(J/\psi \rightarrow \mu\tau) < 7.3 \times 10^{-8} \text{ @ 90\% C.L.}$$

where  $N_{J/\psi}$  is the number of  $J/\psi$  events,  $\epsilon$  is the efficiency. The result is based on the POLE program which is a Feldman-Cousins method. The number of background events and its uncertainty are taken into account. The systematic uncertainties (assumed to be 5%), where the number of observed events is set to be zero.

# Summary

- With the world largest  $e^+e^-$  annihilation  $J/\psi$  data including more than 225 million  $J/\psi$  events, the BESIII collaboration got the leading upper limit on  $J/\psi \rightarrow e\mu$  decay.
- Better upper limits on  $J/\psi \rightarrow e\tau$  and  $J/\psi \rightarrow \mu\tau$  based on 1300 million  $J/\psi$  events are coming soon.
- New data taking plan has been approved! Better constraints can be expected.

Thank you !

